

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LAWRENCE

Application No.: 10/801,238

Filing Date: March 15, 2004

For: PUBLIC OFFERING RISK  
MANAGEMENT

) Confirmation No.: 2021

) Group Art Unit: 4172

) Examiner: William E. Rankins

) **RESPONSE TRANSMITTAL**

) Docket No.: G08.147/U

) **PTO Customer Number 28062**  
) Buckley, Maschoff & Talwalkar LLC  
) 50 Locust Avenue  
) New Canaan, CT 06840

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Mail Stop Amendment (via EFS)  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are:

1. ☒ Amendment/Response to Non-Final Office Action mailed October 15, 2007

FEE CALCULATION

For	Current	Prev. Paid	No. Extra	Rate	Fee
Total Claims	11	- 19	0	\$ 50.00	\$ 0.00
Indep. Claims	1	- 7	0	\$ 210.00	\$ 0.00
Multiple Dependent Claims (add \$300.00 if applicable)					\$ 0.00
<b>No Petition for Extension of Time is Required</b>					\$ 0.00
<b>OTHER FEE</b> (specify purpose):					\$ 0.00
<b>TOTAL FEE</b>					<b>\$ 0.00</b>

The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1852 as described below. A duplicate copy of this sheet is enclosed.

- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

January 14, 2008  
Date

/Nathaniel Levin/  
Nathaniel Levin  
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(203) 972-3460